

**NC DHHS
DMH/DD/SAS**

Reviewer:

	Description	Conditional Endorsement					Full Endorsement				
	<i>Respite Care Non-Institutional Nursing Base</i>	Evidence of Compliance	MET	NOT MET	N/A		Evidence of Compliance	MET	NOT MET	N/A	Comments
1	Provider Requirements:										
a	**1) Must be delivered by practitioners employed by an organization that meets the standards established by the Division of MHDDSAS or LME approved/endorsed. These standards set for the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provider services.	Provider application with all required supporting documentation as required in;					Provider application with all required supporting documentation as required in;				
b	2) Provider organization must demonstrate they meet these standards by being endorsed by the LME.	provider application; program description Policy and					provider application; program description Policy and				
c	The Organization must be established as a legally recognized entity in NC.	Procedure Manual					Procedure Manual				
d	As applicable, licensed by DFS as a respite care facility in accordance with GS 122C	Copy of license					Copy of license				
2	Staffing Requirements										
	Worker must meet the following requirements:										
a	RN or LPN	Program description; Personnel Manual; job descriptions					Personnel files; copy of licensure; supervision plans or other documentation that staff minimum requirements and				

						supervision requirements are met				
b	A criminal record check.	Program description; Personnel Manual; job descriptions				Personnel files; supervision plans or other documentation that staff minimum requirements and supervision requirements are met; copy of criminal record check.				
c	A healthcare registry check in accordance with 10A NCAC 27G.0200.	Program description; Personnel Manual; job descriptions				Personnel files; supervision plans or other documentation that staff minimum requirements and supervision requirements are met; copy of healthcare registry check.				
d	Driving record must be checked if providing transportation.	Program description; Personnel Manual; job descriptions				Personnel files; supervision plans or other documentation that staff minimum requirements and supervision requirements are met; copy of driving				

							record check.				
3	Service Type/Setting										
a	Respite care is a service that provides periodic relief for the family or primary caregiver.	Program description; policies and procedures.					Program description, policies and procedures; Plan of Care, service notes documenting implementation of appropriate programming.				
b	Respite will be provided in the following locations: Individual's home or place of residence.	Program description; policies and procedures.					Program description, policies and procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate programming.				
4	Program Clinical/Requirements										
a	In order to be considered the primary care giver, a person must be principally responsible for the care and supervision of the individual, and must maintain their primary residence at the same address as the covered individual.	Program description; policies and procedures.					Program description, policies and procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate programming.				
5	Service Limitations:										
a	Respite do not include medical transportation and may not be provided during medical transportation and medical appointments;	Program description; policies and procedures.					Program description, policies and procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate				

							programming.				
b	Individuals who live in licensed residential facilities, licensed alternative family living (AFL) homes, licensed foster care homes or unlicensed alternative family homes serving one adult may not receive this service.	Program description; policies and procedures.					Program description, policies and procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate programming.				
c	Limitations: Respite should not be provided to an individual when the individual is home for the purpose of a family visit.	Program description; policies and procedures.					Program description, policies and procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate programming.				
d	Private home respite services serving individuals outside their private homes are subject to licensure under G.S. 122C Article 2 when more than two individuals are served concurrently, or either one or two children, two adults, or any combination thereof are served for a cumulative period of time exceeding 240 hours per calendar month.	Program description; policies and procedure; copy of license as appropriate.					Program description, Policies and Procedures; copy of approved Plan of Care, service notes documenting implementation of appropriate programming. Copy of license.				
e	Respite service may not be used as a daily service.	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
f	Respite services may not be	Program					Program				

	provided for individuals living in licensed group homes or adult care homes.	description; Policies and procedure					description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
g	Respite services may not be used for individuals who are living alone or with a roommate.	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
h	Staff sleep time is not reimbursable.	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
i	Respite services are only provided for the individual; other family members, such as siblings of the individual may not receive care or supervision from the provider while Respite Care is being provided/billed for the individual.	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
k	Respite is not provided by any person who resides in the individual's primary place of Residence.	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of				

							appropriate programming.				
I	The cost of 24 hours of respite care cannot exceed the per diem rate for the average community ICF-MR Facility and Federal Financial Participation. (FFP) will not be claimed for the cost of room and board except when provided, as part of respite care furnished in a facility approved by the State that is not a private residence.	Program description; Policies and procedures.					Program description, Policies and Procedures; service notes documenting implementation of appropriate programming. billing documentation.				
m	This service may not be provided at the same time of day that a person receives: <ul style="list-style-type: none"> • Adult Day Health • Day Supports • Home and Community Supports • Individual and Caregiver Training • Personal Care • Residential Supports • Supported Employment • Transportation • Or one of the regular Medicaid services that works directly with the person, such as PCS, Home Health Services, MH/DD/SAS Community Services, or individual therapies. 	Program description; Policies and procedure					Program description, policies and procedures; approved Plan of Care, service notes documenting implementation of appropriate programming.				
	Documentation Requirements										
a	Date of service, duration of service, task performed, signature (initials if full signature included on the page) are required to be documented daily to reflect the respite provided.	Service Record; Policy and Procedure Manual					Evidence of documentation according to Service Records Manual.				

Date Reviewed:

